# The theoretical and mathematical description of nucleation in shear flow conditions

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## **Overview**

1. Microtructure of molecules in shear flows from the Smoluchowski diffusion-convection equation

2. Self-assembly of charge-stabilized molecules/colloids in shear flows

3. Crystal nucleation theory in shear flows

4. Comparison with experiments and new perspectives

## **Central tool: Pair-correlation function**



Probability of finding other molecules at distance *r* from a test molecule

## **Peclet number**



## **Colloidal suspensions under shear flow**



# Pair correlation function of molecules in shear flow

## Pair-correlation function of dissolved molecules in shear flows (dilute)

Smoluchowski (Fokker-Planck) "convection-diffusion" equation:

$$D\nabla \cdot \left( G(r)\nabla g(\mathbf{r}) - \frac{1}{k_B T} \mathbf{K} g(\mathbf{r}) \right) = 0$$

$$\mathbf{K} = -G(r)\nabla U(r) + \zeta \mathbf{v}(\mathbf{r}).$$

Dhont JFM 1989, Bławzdziewicz and Szamel, PRE 1993



After averaging over the angular section of interest, we can apply the **matched-asymptotics** (perturbative expansion) **Boundary layer**:  $\delta(\epsilon)$ 

small parameter $\epsilon = 1/\text{Pe}$ 2INNER SOLUTION: $\tilde{r}_c < \tilde{r} < \delta(\epsilon)$ 1 $g^{\text{in}} = g_0^{\text{in}} + \delta(\epsilon)g_1^{\text{in}} + \delta(\epsilon)^2g_2^{\text{in}} + \dots$  $\Xi$ 1OUTER SOLUTION: $\delta(\epsilon) < \tilde{r} < \infty$ 1 $g^{\text{out}} = g_0^{\text{out}} + \epsilon g_1^{\text{out}} + \epsilon^2 g_2^{\text{out}} + \dots$ 1

and then match at the boundary later  $\delta(\epsilon)$ 



Banetta & Zaccone, PRE 2019

## **Molecules in solution and pair-correlation function**





## Divergence of the Structure Factor at $k \rightarrow 0$



## Shear-induced uniform -> non uniform transition (Brazovskii)?

The structure factor S(k) can be measured experimentally!

## **Conclusions – pair correlation function in shear**

Novel theoretical framework for the microscopic structure of <u>molecules in liquids</u> under shear flow, by **fully** taking into account the **boundary layer-structure** of convective diffusion and HIs.

Agreement with numerical results from literature *with no fitting parameters*. Investigation of the value *at contact* of the pair correlation function

Possible divergence of the **structure factor** *S(k)* at *k* -> 0 by increasing the Peclet number: Evidence of a shear-induced *uniform->non uniform* phase transition?

The theory can be extended to **any** pair potential!

## Part 2 – Self-assembly of charge-stabilized colloids in shear flows

## Uncontrolled self-assembly of nanoparticles in microfluidic reactors

E.g., uncontrolled crystallization

E.g., Pd-catalyzed C-N reactions in microreactors



How can we understand and control the "runaway" of pressure drop/viscosity with time?

## Brief reminder: Brownian aggregation (no flow)

Diffusion-limited coagulation rate





With charge-repulsion:

Two Brownian particles stick upon contact (due to mutual attraction): independent of particles size because of mutual cancellation

of size-dependencies due to cross-section and diffusion

 $k_{agg} = \frac{k_s}{W} < k_s$ 

aggregation rate is slower due to the repulsive barrier



## Analytically solving the Smoluchowski eq. with shear flow



 $\lambda$ =interaction range

approximate analytical solution (singular perturbation):

#### Zaccone, Wu, Gentili, Morbidelli, PRE (2009)

From taking the flux of the concentration field, we get to the aggregation rate

$$k_{agg} = \frac{8\pi D_0 R \rho_0}{2 \int_0^{\delta/R} \frac{dx}{G(x)(x+2)^2} \exp \int_{\delta/R}^x dx \left(\beta \frac{dV}{dx} + Pe \quad \tilde{v}_r\right)}$$

 $\delta = \sqrt{(\lambda/a)/Pe}$  boundary-layer width

## Rate of thermally-activated barrier crossing in shear flow

 $\operatorname{div} \cdot [\beta D(-\nabla U + b\mathbf{v}) - D\nabla]g(\mathbf{r}) = 0$ 

By taking the flux integral over the current *J*, we obtain The rate of particles that collide with the target particle by overcoming the potential barrier



(Kramers' rate with shear)

Zaccone, Wu, Gentili, Morbidelli, PRE (2009)

## **Comparison with full numerical solutions**



## From dimer formation kinetics to large-scale aggregation kinetics

SYSTEMATIC APPROACH: **population balance equation of colloidal clusters** (PBE)

 $C_k$  = conc. of clusters containing k particles

$$\frac{dC_k}{dt} = \frac{1}{2} \sum_{i,j=1}^{i+j=k} K_{ij}^+ C_i C_j - C_k \sum_{i=1}^{\infty} K_{ik}^+ C_i$$
$$-K_k^- C_k + \sum_{i=k+1}^{\infty} K_{ik}^- C_i$$





## Nanoparticles aggregate breakup rate



## Modelling of coagulation/clogging phenomena



## **Overview – shear aggregation**

• Aggregation of NPs in shear flows: there is a crucial interplay between colloidal interactions, Brownian motion and flow convection which can be disentangled using an approximate solution to the governing Smoluchowski eq. with shear (Zaccone, Wu et al. PRE 2009). The resulting aggregation rate is the starting point to the numerical description of clustering phenomena and gelation, in agreement with experiments. The theory also provides an extension to Kramers' rate theory under shear flow and with HI at the two-body level.

• The Smoluchowski eq. with shear also governs shear-induced <u>aggregate breakup</u>, with an important role of hydrodynamic interactions mediated by the fractal structure. Analytical expressions for the fragmentation rate are possible also in this case leading to power-law dependencies (**Conchuir & Zaccone PRE 2009**).

The framework is directly applicable also to colloidal gels under shear.

## Part 3 – Theory of crystal nucleation in shear flows

## **Kinetic equations for nucleus growth**



 $\frac{\nu v'}{6G} \frac{24}{2G}$ 

$$\frac{\partial Z(n,t)}{\partial t} = -Z(n,t)[q_{+}(n) + q_{-}(n)] + Z(n-1,t)q_{+}(n-1) + Z(n+1,t)q_{-}(n+1).$$
(1)

$$b(n)q_{+}(n) = b(n+1)q_{-}(n+1),$$
 chemical  
 $b(n-1)q_{+}(n-1) = b(n)q_{-}(n).$  equilibrium

Frenkel-Zeldovich

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$$\frac{\partial Z}{\partial t} = \frac{\partial}{\partial R} \left[ \lambda^2 q b \frac{\partial}{\partial R} \left( \frac{Z}{b} \right) \right] = \frac{\partial}{\partial R} \left[ D b \frac{\partial}{\partial R} \left( \frac{Z}{b} \right) \right] \quad \text{only 1-particle detach/attach events}$$

$$Z(n) = \lambda Z(R), Z(n+1) = \lambda Z(R+\lambda)$$

$$b(R) \propto \exp[-F(R)/k_BT] \quad \text{Boltzmann}$$

$$\frac{2\nu v'}{|\Delta \mu|}$$

$$\nu v' = 24G^2 + 7\eta^2 \dot{\gamma}^2 \quad \text{Mura and Zaccone, PRE 2016}$$

### **Nucleation rate in shear flow**

$$J = -De^{-\frac{F(R)}{k_BT}} \frac{\partial}{\partial R} \left( Ze^{\frac{F(R)}{k_BT}} \right),$$

 $K_N \equiv \frac{J}{N_{\text{tot}}}$  at steady-state, J = const

$$K_{N} = \frac{D(R^{*})}{\pi k_{B}T} \sqrt{-F''(R^{*})F''(R_{0})} e^{-\frac{F(R^{*})}{k_{B}T}}$$

$$= \frac{\left(8\nu + \frac{7\dot{\gamma}^{2}\eta^{2}\nu}{3G^{2}}\right)D(R^{*})}{k_{B}T} e^{-\frac{F(R^{*})}{k_{B}T}}, \qquad \text{free energy barrier for nucleus growth under shear flow}$$

$$K_{N}^{0} = \frac{\left(8\nu + \frac{7\dot{\gamma}^{2}\eta^{2}\nu}{3G^{2}}\right)4a^{2}\pi(R^{*} + a)(D_{a} + D_{R^{*}})c_{0}}{k_{B}T \int_{0}^{\frac{\delta}{(R+a)}} \frac{dx}{(x+1)^{2}} \exp\left[\int_{\frac{\delta}{(R+a)}}^{x} dx\left(\frac{dU}{dx} - 4\operatorname{Pe}\tilde{v}_{r,eff}\right)\right]} \text{ rate of attachment of one particle to the nucleus}$$

cfr. our previous solution to the Smoluchowski eq. (Zaccone et al. PRE 2009)

## Free energy of nucleus growth in shear



## **Crystal nucleation in shear flows**



We have extended the Frenkel-Zeldovich formulation of classical nucleation theory to include the effect of shear flow

The prefactor is calculated from an analytical solution to the Smoluchowski convective-diffusion eq. while the energy barrier is calculated accounting for the strain energy



Theory predicts that nucleation rate has a strong maximum as a function of shear rate due to competition between transport and strain energy

Mura and Zaccone, PRE 2016

## Confirmation of the "peak" prediction - simulations data



Goswami, Dalal, Singh, PRL (2021)

## Confirmation of the universal "peak" prediction - experimental data (1)

Nucleation rate of insulin in water solution in rotating Couette device



McBride, Tilger, et al. JPhysChemB (2015)

## Confirmation of the universal "peak" prediction - experimental data (2)



Coll. with the Scheid Group at ULB, Belgium

pubs.acs.org/crystal

Article

#### Experimental and Theoretical Investigation of Nonclassical Shear-Induced Nucleation Mechanism for Small Molecule

Robin Debuysschère, Bart Rimez, Alessio Zaccone, and Benoit Scheid\*

Cite This: Cryst. Growth Des. 2023, 23, 4979-4989

Table 1. Experimental Parameters: Flow Rate (Q), and Internal Diameter (ID) and Associated Reynolds Number (Re) and Length (L) of the Microfluidic Tube to Evaluate the Effect of Shear Rate  $(\dot{\gamma})$  on the Nucleation Rate

Test	Q [ml/min]	ID [mm]	Re	γ̈́[s <sup>-1</sup> ]	<i>L</i> [m]
1	1.67	1.0	32.0	284	0.42
2	1.25	0.75	32.0	503	0.56
3	2.96	1.0	57.0	503	0.74
4	5.0	1.0	95.0	849	1.25
5	2.11	0.75	54.0	849	0.94
6	3.75	0.75	95.0	1509	1.67
7	8.89	1.0	170.0	1509	2.22
8	10.0	1.0	191.0	1698	2.5
9	7.5	0.75	191.0	3018	3.33
10	17.78	1.0	339.0	3018	4.44
11	20.0	1.0	382.0	3395	5.0
12	8.44	0.75	215.0	3395	3.75
13	30.0	1.0	573.0	5093	7.5
14	15.0	0.75	382.0	6036	6.67
15	40.0	1.0	764.0	6791	10.0
16	16.88	0.75	430.0	6791	7.5
17	22.5	0.75	573.0	9054	10.0
18	53.33	1.0	1018.0	9054	13.33
19	30.0	0.75	764.0	12072	13.33



## Confirmation of the universal "peak" prediction - experimental data (2)



• Non-classical mechanism: intermediary amorphous structures are formed first, which are sensitive to the shear flow as described by the theory

• Sensitivity analysis was performed on the physical quantities that could not be measured interval of confidence in the comparison with theory

## **Conclusions – nucleation in shear**

TAKE-HOME MESSAGE: <u>CRYSTAL NUCLEATION THEORY</u> HAS BEEN EXTENDED TO SYSTEMS UNDER SHEAR FLOW

## THERE IS A COMPETITION BETWEEN SHEAR-ENHANCEMENT OF THE ATTACHMENT RATE OF A MOLECULE TO THE NUCLEUS AND THE MECHANICAL EFFECT OF SHEAR RISING THE ENERGY BARRIER FOR NUCLEATION

### THIS LEADS TO A MAXIMUM IN THE NUCLEATION RATE VS THE FLOW RATE

#### FUTURE WORK: STUDY THE MODEL MORE SYSTEMATICALLY FOR DIFFERENT MOLECULAR SYSTEMS AND FLOW CONDITIONS

More details in Mura & Zaccone PRE (2016) – theory and Debruysschere et al. Cryst. Growth Design (2023) – expt validation

NB: for polymers situation slightly different, theories by Graham & Olmsted

# From theoretical physics to real-world technological impact: flow-reactors (1)



#### \*Internal Resistive Hydrodynamics



#### Industrial customers: Bayer, Pzitzer, among others



Industrial crystallization equipment: the SCT-ICE

# From theoretical physics to technological impact: nuclear reactor waste (2)



Precipitation of cerianite crystals and its effect on the rheology of a simplified nuclear glass melt

Jeanini Jiusti 🔀, Elise Regnier 🔀, Norma Maria Machado, Mohamed Leith Ghazzai, Vincent Malivert, Muriel Neyret, François Faure

nuclear waste melt crystallization enhanced by shear flow: since the atomic packing density is larger in the crystal than in the glass, this allows for a higher nuclear waste storage density

FEDERICA MURA AND ALESSIO ZACCONE Phys. Rev. E 2016





## Future developments: chemically reactive systems

A can convert to B that forms drops (which nucleate), and viceversa,

droplet material B turns into precursor A inside droplets, while B is replenished outside (irreversible reactions)

 $\tilde{F}(R) \approx -gV + \gamma A + F_{\text{react}}(R)$ 

PHYSICAL REVIEW LETTERS 130, 248201 (2023)

#### **Nucleation of Chemically Active Droplets**

Noah Ziethen<sup>®</sup>, Jan Kirschbaum<sup>®</sup>, and David Zwicker<sup>®</sup><sup>\*</sup> Max Planck Institute for Dynamics and Self-Organization, Am Faßberg 17 37077, Göttingen, Germany



## **Potential ideas & challenges for future work**

- 1. Investigate shear-induced breakup rate of nuclei
- 2. Improve determination of surface energy (e.g. atomistic simulations)
- 3. Exploit chemical reactions to increase the nucleation rate
- 4. Turbulent conditions?
- 5. ....

## **Acknowledgements**

### **Students & post-docs on these projects**

Carmine Anzivino, Luca Banetta, Francesco Leone, Sara Pelargonio, Bingyu Cui

### **Financial support**

**US government (ongoing, since 2016)**: U.S. Army ITC-Atlantic and the U.S. Army Research Laboratory, under **cooperative Agreement No. W911NF-16-2-0091**.

U.S. Army Research Office Grant under Cooperative Agreement No. W911NF-19-2-0055

**European Research Council ERC Consolidator Grant "Multimech"** (officially started 2023)





European Research Council Established by the European Commission

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Lecture Notes in Physics

#### Alessio Zaccone

## Theory of Disordered Solids

From Atomistic Dynamics to Mechanical, Vibrational, and Thermal Properties



## **Percus-Yevick theory (1)**

$$g(\mathbf{r}_1,\mathbf{r}_2) = g(\mathbf{r}_1 - \mathbf{r}_2) \equiv g(\mathbf{r}_{12}) = g(|\mathbf{r}_{12}|) \equiv g(r_{12}) \equiv g(12)$$

$$h(12) \equiv g(12) - 1$$

$$h(12) = c(12) + \rho \int d^3 \mathbf{r}_3 c(13) h(32) \quad \text{or:}$$
  
$$h(\mathbf{r}) = c(\mathbf{r}) + \rho \int d\mathbf{r}' c(\mathbf{r}') h(|\mathbf{r} - \mathbf{r}'|) \quad \text{Ornstein-Zernike eq.}$$

which comes from a formal perturbative expansion:

$$h = c + \rho c \ast c + \rho^2 c \ast c \ast c + \dots$$

 $c(r) = -\beta v(r)$   $r \gg \sigma$ 

Under extremely dilute conditions  $h(r) \simeq c(r)$ 

## **Percus-Yevick theory (2)**



Analytically solved by Wertheim, Phys. Rev. Lett. (1963) with more details in Wertheim, J. Math. Phys. (1964)

$$g(\sigma) = \frac{1 + \frac{\phi}{2}}{(1 - \phi)^2} \quad \text{in } d=3$$

$$g(\sigma^+) = \frac{(1 + 18\eta + 6\eta^2)^{3/2} - 1 + 33\eta + 87\eta^2 + 6\eta^3}{60\eta(1 - \eta)^3} \quad \text{in } d=5$$
Leutheusser,  
Physica (1984)

## Hydrodynamic interactions at the two-particle level (1)

• Lubrication forces (resistance of incompressible solvent being squeezed as two particles approach)

$$G(h) = \frac{6h^2 + 4h}{6h^2 + 13h + 2}$$

interpolation form of Stimson & Jeffery's 1926

solution to Stokes equation for two spheres

$$D(r) = G(r)D_0$$

 Hydrodynamic interaction due to the mutual relative motion of the two particles

$$\tilde{v}_{r,\text{eff}}(x) \equiv \langle \tilde{v}_r^+(\mathbf{r}) \rangle = -(1/3\pi)(x+2)[1-A_s(x)],$$



hydrodynamic function from Batchelor & Green's solution for HI of two particles in a linear flow field



## Hydrodynamic interactions at the two-particle level (2)



both hydrodynamic effects act as to slow-down the relative particle motion effective "repulsion"



Banetta et al., PRE 2022



## **Comparison with experimental data (1)**



W ~ 10<sup>8</sup> (static Fuchs coefficient) is the only adjustable parameter (within the error bar on the electrostatics characterization) Viscosity model: extension of Einstein for clusters with vol. frac. given by R<sub>H</sub>

#### Lattuada, Zaccone, Wu, Morbidelli, Soft Matter (2016)

## **Comparison with experimental data (2)**

Conversion of primary particles to (large) clusters measured by **filtration**, expt. data from **Zaccone**, **Gentili**, et al. PRL 2011



## Predicting the evolution of viscosity

**Effective packed** 



$$\phi = \frac{4}{3}\pi \int_0^\infty n(\xi, t) R_g^3 d\xi = \frac{4}{3}\pi \sum_{i=0}^M N_i R_{g,i}^3$$
$$\frac{\eta}{\eta_0} = \left(\frac{1 - \phi/\phi_{cr}}{1 - (k_0\phi_{cr} - 1)\phi/\phi_{cr}}\right)^{-\phi_{cr}[\eta]/(2 - k_0\phi_{cr})}$$

